

Siena Capital, LLC.

DBA



Harrison A. Wolfe, CFP®

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2B Supplemental Brochure

The Brochure Supplement provides information about Harrison A. Wolfe (CRD#6494537) that supplements Siena Capital, LLC's Brochure. You should have received a copy of the Brochure. Please contact Patrick Walsh, Senior Advisor/Partner, if you did not receive Siena Capital, LLC's brochure or if you have any questions about the contents of this supplement. Mr. Patrick Walsh can be reached at 513-469-7014 or pat@oxfordfp.com.

Additional information about Harrison A. Wolfe is available on the SEC's website at www.adviserinfo.sec.gov.

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Harrison A. Wolfe, CFP®

Born: 1992

Post-Secondary Education:

- University of Cincinnati – Finance/Accounting Degree (2013)
- Successfully completed the Investment Company Products/Variable Contracts Representative Examination (Series 6), Uniform Securities Agent State Law Examination (Series 63), and Uniform Investment Advisor Law Examination (Series 65)

Designations: Certified Financial Planner¹ (CFP®), Received 08/2019

Recent Business Experience:

01/2018 – Present- *Siena Capital, LLC.*, Associate Advisor

01/2015 – 01/2018- *Mass Mutual Financial Group LLC.*, Registered Representative

¹Minimum Requirements for Certified Financial Planner (CFP®)

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: a) complete an advanced college-level course of study addressing the financial planning subject areas including insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning; b) pass the comprehensive CFP® Certification Examination (1 day; 6 hour exam); c) complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); d) agree to be bound by CFP Board's Standards of Professional Conduct; e) complete 30 hours of continuing education hours every two years; and f) renew an agreement to be bound by the Standards of Professional Conduct.

- Bachelor's degree from an accredited college or university.
- Completion of the financial planning education requirements set by the CFP Board (www.cfp.net)
- Successful completion of the 10-hour CFP® Certification Exam
- Three-year qualifying full-time work experience
- Successfully pass the Candidate Fitness Standards and background check

ITEM 3 - DISCIPLINARY INFORMATION

Mr. Harrison A. Wolfe has no history of any legal or disciplinary events that deems to be material to a client's consideration of Mr. Harrison A. Wolfe to act as their investment adviser representative. FINRA's BrokerCheck® may have additional information regarding the disciplinary history of Mr. Harrison A. Wolfe that is not included in this brochure supplement. (<http://brokercheck.finra.org/Support/TermsAndConditions.aspx>)

ITEM 4 - OTHER BUSINESS ACTIVITIES

Harrison A. Wolfe does business as Oxford Financial Partners to market the services he provides.

ITEM 5 - ADDITIONAL COMPENSATION

Harrison A. Wolfe does not receive any economic benefit for providing advisory services beyond the scope of Siena Capital, LLC. or activities listed in **Item 4**.

ITEM 6 - SUPERVISION

Harrison A. Wolfe is supervised by Mr. Patrick Walsh. The Siena Capital, LLC. compliance program is designed to prevent and detect violations of the federal and state securities laws. Patrick Walsh is the Chief Compliance Officer who is responsible for administering the policies and procedures. Mr. Patrick Walsh reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed.

For further information, please contact:

Mr. Patrick Walsh
513-469-7014
pat@oxfordfp.com